STATE OF NORTH DAKOTA

BEFORE THE

COUNTY OF BURLEIGH

SECURITIES COMMISSIONER

In the Matter of)	ORDER	OF	SUSPEN	ISION	I, NOT	CE	OF
Mark Steven Eiken)	OPPORT	run i	TY FOR	R HEA	ARING,	AND)
)	PROPOS	SED	ORDER	OF F	REVOCAT	CION	Ī

THE SECURITIES COMMISSIONER OF THE STATE OF NORTH DAKOTA TO MARK STEVEN EIKEN:

I.

The REGISTRATION of salesman Mark Steven Eiken is hereby SUSPENDED.

- A. Under Section 10-04-11(4), North Dakota Century Code ("N.D.C.C."), if the Commissioner has reasonable grounds to believe that a registered salesman has been guilty of any act or omission which would be sufficient grounds for revoking his registration, then the Commissioner may enter an order suspending the registration of a salesman pending a hearing or opportunity for hearing as provided in Section 10-04-12, N.D.C.C.
- B. The Commissioner has reasonable grounds to believe that Mark Steven Eiken is guilty of acts or omissions as specified in Section 10-04-11, N.D.C.C., which would be sufficient grounds for revoking his registration as a salesman.

NOW, THEREFORE, IT IS ORDERED that the registration of Mark Steven Eiken as a salesman in the State of North Dakota be and hereby is suspended.

Mark Steven Eiken is hereby NOTIFIED OF AN OPPORTUNITY FOR HEARING in this matter.

- A. The Commissioner proposes to issue the following Order: "It is hereby ORDERED that the registration of Mark Steven Eiken as a securities salesman in the State of North Dakota be and hereby is revoked."
- B. The Commissioner proposes to issue the above Order based upon the following grounds:

Mark Steven Eiken has offered for sale unregistered securities in the State of North Dakota in violation of Section 10-04-04, N.D.C.C., which is grounds for suspension and revocation of his registration as a salesman under Section 10-04-11(1)(a), N.D.C.C.

YOU ARE HEREBY NOTIFIED, pursuant to Section 10-04-12, N.D.C.C., that a hearing will be held in this matter if a request is made for such hearing within ten days after receipt of this notice, as provided in Section 10-04-16(1), N.D.C.C. If the Commissioner does not receive a request for a hearing within the prescribed time, the proposed Order, as more fully described above, may be entered in accordance with Section 10-04-11(5), N.D.C.C.

YOU ARE FURTHER NOTIFIED that the above-cited violations, if proven, are sufficient grounds for the imposition of a civil penalty, pursuant to Section 10-04-16 N.D.C.C.

IN TESTIMONY WHEREOF, witness my hand and seal this $\underline{13th}$ day of November, 1990.

(SEAL)

GLENN POMEROY SECURITIES COMMISSIONER COUNTY OF BURLEIGH

SECURITIES COMMISSIONER

In the Matter of Mark Steven Eiken)	AFFIDAVIT OF MAILING
STATE OF NORTH DAKOTA)) ss.	
COUNTY OF BURLEIGH)	

RaNelle Anderson, being first duly sworn under oath, deposes and says:

- 1. That she is a citizen of the United States over the age of twenty-one years and not a party to or interested in the above-entitled proceeding.
- 2. That on the 13th day of November, 1990, she deposited in the Central Mailing Bureau of the United States Post Office Department in the State Capitol in Bismarck, North Dakota, true and correct copies of the following document:

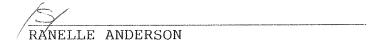
ORDER OF SUSPENSION, NOTICE OF OPPORTUNITY FOR HEARING, AND PROPOSED ORDER OF REVOCATION

3. That copies of the above document were securely enclosed in envelopes with postage duly prepaid, certified mail, return receipt requested, and addressed as follows:

Mark Steven Eiken 721 N. 2nd Street Montevideo, MN 56265

Attn: Barry Seale John G. Kinnard and Company 1700 Northstar Center Minneapolis, MN 55402

4. That to the best of her knowledge, information, and belief, the addresses given above are the actual post office addresses of the parties intended to be so served.



Subscribed and sworn to before me this <u>13th</u> day of November, 1990.

MARGARET BRINTNELL, Notary Public Burleigh County, North Dakota My commission expires 8-9-95.